

Wireless Networks with Imperfect Side-Information

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ETH

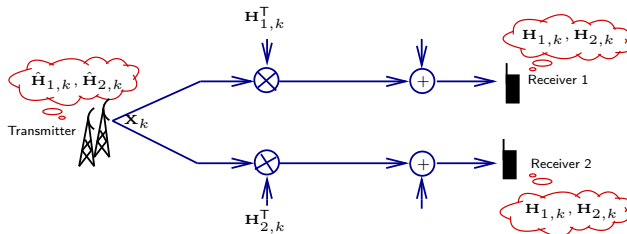
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Based on collaborations with Amos Lapidoth and Shlomo Shamai (Shitz)

Imperfect Side-Information on Channel State

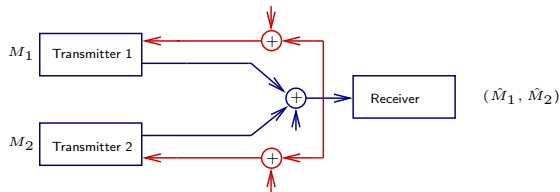


- ▶ Fading MIMO broadcast channel
- ▶ Fading known perfectly to receivers, but only **imperfectly** to transmitter
- ▶ E.g.: Slowly fading channels with separate fb-link

Lapidoth/Shamai/Wigger'05

Imperfection causes MIMO capacity-gain to collapse!

Side-Information via Imperfect Output Feedback

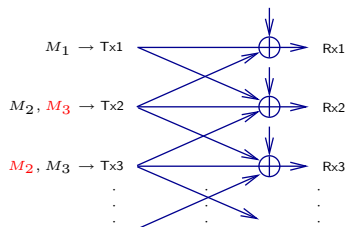


- ▶ Gaussian multiple-access channel with *noisy* output feedback
- ▶ E.g.: Up-link/down-link scenarios with much stronger down-link
- ▶ IT-wisdom: Feedback cannot hurt! *But does it help?*

Lapidoth&Wigger'06

- ▶ Even if noisy, feedback is *always* beneficial!
- ▶ Noisy feedback is almost as good as perfect feedback!

Imperfect Side-Information on Message Sets



- ▶ Cognitive Interference Networks with N tx/rx-pairs
- ▶ Each tx knows *some* of other txs' messages
- ▶ E.g.: Co-located txs, cognitive radio systems

Lapidoth/Shamai/Wigger'07

Depending on specific topology of network:

- ▶ # of "interference-free channels" collapses with incomplete SI
- ▶ N "interference-free channels" achievable even with little SI

Robustness of Capacity w.r.t. Imperfect Side-Information

“Almost Perfect” \ll “Perfect”

or

“Almost Perfect” \approx “Perfect” ?

Preliminaries: Gaussian Networks

- ▶ Independent messages:

$$M_\nu \sim \mathcal{U} \{1, \dots, \lfloor e^{nR_\nu} \rfloor\}, \quad \nu \in \{1, \dots, N\}$$

- ▶ Outputs corrupted by independent, additive noise sequences:

$$\{Z_{\nu,k}\} \text{ IID } \sim \mathcal{N}(0, N)$$

- ▶ Input power constraints:

$$\frac{1}{n} \mathbb{E} \left[\sum_{k=1}^n \mathbf{X}_{\nu,k}^2 \right] \leq P_\nu$$

- ▶ $P_\nu = P \quad \forall \nu \in \{1, \dots, N\} \quad \implies \quad \text{SNR} \triangleq \frac{P}{N}$

Preliminaries: Capacity

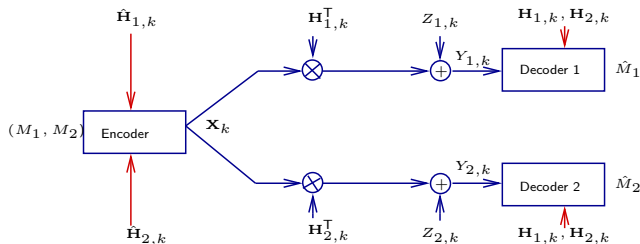
- ▶ Capacity region \mathcal{C} : closure of set of all rate-tuples $(R_1, \dots, R_N) \in \mathbb{R}^N$ for which \exists block-length n schemes such that

$$p(\text{error}) \rightarrow 0 \quad \text{as } n \rightarrow \infty$$

- ▶ Sum-rate capacity C_{sum} : supremum of $R_{\text{sum}} = \sum_{\nu=1}^N R_{\nu}$ over all $(R_1, \dots, R_N) \in \mathcal{C}$

Part 1

Fading MIMO Broadcast Channel



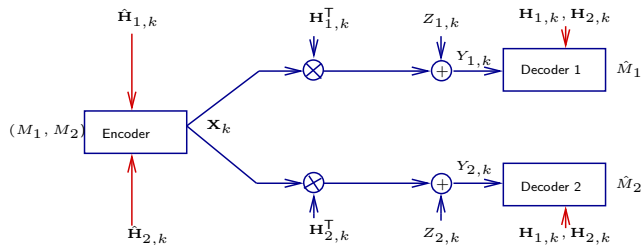
Side-information: Channel state

Imperfection: Transmitter knows fading sequences only **approximately!**
(modified Caire/Shamai'03 setting)

Lapidoth/Shamai/Wigger'05

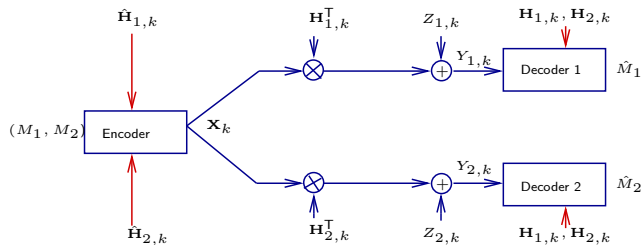
Imperfection causes MIMO capacity-gain to collapse!

Fading MIMO Broadcast Channel



- ▶ Transmitter has 2 antennas
- ▶ Each of the two receivers has 1 antenna
- ▶ $Y_{\nu,k} = \mathbf{H}_{\nu,k}^T \mathbf{X}_k + Z_{\nu,k}, \quad \nu \in \{1, 2\}$
- ▶ $E[\|\mathbf{H}_{\nu,k}\|^2]$ bounded

Fading MIMO Broadcast Channel



- ▶ $\mathbf{H}_{\nu,k} = \hat{\mathbf{H}}_{\nu,k} + \tilde{\mathbf{H}}_{\nu,k}$
- ▶ Transmitter knows a-causally *realizations* of $\{\hat{\mathbf{H}}_{1,k}\}, \{\hat{\mathbf{H}}_{2,k}\}$
- ▶ Receivers know *realizations* of $\{\tilde{\mathbf{H}}_{1,k}\}, \{\tilde{\mathbf{H}}_{2,k}\}, \{\hat{\mathbf{H}}_{1,k}\}, \{\hat{\mathbf{H}}_{2,k}\}$ (optimistic)
- ▶ Law of $\{\tilde{\mathbf{H}}_{1,k}\}, \{\tilde{\mathbf{H}}_{2,k}\}, \{\hat{\mathbf{H}}_{1,k}\}, \{\hat{\mathbf{H}}_{2,k}\}$ determines precision of transmitter state-information

Degrees of Freedom

- ▶ Degrees of freedom:

$$\overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})}$$

- ▶ Characterizes maximum high SNR throughput of system

Perfect Channel State Information for Transmitter

- ▶ If $\{\mathbf{H}_{1,k}\}, \{\mathbf{H}_{2,k}\}$ known to receivers and transmitter [Caire&Shamai'03]:

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log \text{SNR}} = 2$$

- ▶ For single transmit-antenna:

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} = 1$$

- ▶ \implies Capacity-gain thanks to 2 transmit antennas!

No Channel State Information for Transmitter

- ▶ In general still open!
- ▶ If laws of $\{\mathbf{H}_{1,k}\}$ and $\{\mathbf{H}_{2,k}\}$ identical

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{Sum}}(\text{SNR})}{\frac{1}{2} \log \text{SNR}} = 1$$

- ▶ With only a single transmit-antenna:

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{Sum}}(\text{SNR})}{\frac{1}{2} \log \text{SNR}} = 1$$

Approximate Channel State Information for Transmitter

- Obviously:

$$1 \leq \overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{Sum}}(\text{SNR})}{\frac{1}{2} \log \text{SNR}} \leq 2$$

- If $\{\hat{\mathbf{H}}_1\} = \{\hat{\mathbf{H}}_2\}$ with probability 1 and if conditional laws of $\{\tilde{\mathbf{H}}_1\}$ and $\{\tilde{\mathbf{H}}_2\}$ given $(\{\hat{\mathbf{H}}_1\}, \{\hat{\mathbf{H}}_2\})$ identical

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{Sum}}(\text{SNR})}{\frac{1}{2} \log \text{SNR}} = 1$$

Main Result for Fading MIMO BC

- High SNR throughput of fading MIMO BC extremely sensitive to imperfect transmitter CSI

Theorem 1 (Lapidoth, Shamai, Wigger'05)

If

$$\liminf_{n \rightarrow \infty} \frac{1}{n} h(\tilde{\mathbf{H}}_{1,1}^n | \hat{\mathbf{H}}_{1,1}^n, \hat{\mathbf{H}}_{2,1}^n) > -\infty$$

$$\liminf_{n \rightarrow \infty} \frac{1}{n} h(\tilde{\mathbf{H}}_{2,1}^n | \hat{\mathbf{H}}_{1,1}^n, \hat{\mathbf{H}}_{2,1}^n) > -\infty$$

then

$$\overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{Sum}}(\text{SNR})}{\frac{1}{2} \log \text{SNR}} \leq \frac{4}{3}$$

Note: Precision fixed and not improved as $\text{SNR} \rightarrow \infty$

Key-Tool in Proof

Lemma 1 (Lapidoth/Shamai/Wigger'05)

Let (X_1, X_2) be of finite-variance and independent of $Z \sim \mathcal{N}(0, N)$. Let

$$\mathcal{H}(\theta) \triangleq h(X_1 \cos \theta + X_2 \sin \theta + Z), \quad -\pi \leq \theta < \pi.$$

Then for any two bounded density functions $f_{\Theta_1}(\cdot)$ and $f_{\Theta_2}(\cdot)$ on $[-\pi, \pi)$,

$$\int_{-\pi}^{\pi} \mathcal{H}(\theta) f_{\Theta_1}(\theta) d\theta \geq \frac{1}{2} \int_{-\pi}^{\pi} \mathcal{H}(\theta) f_{\Theta_2}(\theta) d\theta + \frac{1}{4} \log N + \frac{9}{2} h(\Theta_1) - \gamma,$$

where γ is some universal constant.

Proof Outline I

- ▶ Reveal M_1 to Receiver 2
- ▶ Use Fano's inequality
- ▶ Use max-entropy theorem

$$\begin{aligned}
 R_1 + R_2 &\leq \underbrace{\frac{1}{n} \left[h(Y_{1,1}^n | \tilde{\mathbf{H}}_{1,1}^n, \hat{\mathbf{H}}_{1,1}^n, \hat{\mathbf{H}}_{2,1}^n) - h(Y_{2,1}^n | M_1, M_2, \tilde{\mathbf{H}}_{2,1}^n, \hat{\mathbf{H}}_{1,1}^n, \hat{\mathbf{H}}_{2,1}^n) \right]}_{\leq \frac{1}{2} \log(1 + \text{SNR}) + O(1)} \\
 &\quad + \underbrace{\frac{1}{n} \left[h(Y_{2,1}^n | M_1, \tilde{\mathbf{H}}_{2,1}^n, \hat{\mathbf{H}}_{1,1}^n, \hat{\mathbf{H}}_{2,1}^n) - h(Y_{1,1}^n | M_1, \tilde{\mathbf{H}}_{1,1}^n, \hat{\mathbf{H}}_{1,1}^n, \hat{\mathbf{H}}_{2,1}^n) \right]}_{=?}
 \end{aligned}$$

Proof Outline II

- ▶ With “Marton-like” expansion:

$$? = \frac{1}{n} \sum_{k=1}^n \left[h(\mathbf{H}_{2,k}^T \mathbf{X}_k + Z_{2,k} | \dots, \tilde{\mathbf{H}}_{2,k}, \hat{\mathbf{H}}_{2,k}) \right. \\ \left. - h(\mathbf{H}_{1,k}^T \mathbf{X}_k + Z_{1,k} | \dots, \tilde{\mathbf{H}}_{1,k}, \hat{\mathbf{H}}_{1,k}) \right]$$

- ▶ With Lemma 1 and taking care of magnitude

$$? \leq \frac{1}{n} \sum_{k=1}^n \left[\frac{1}{2} h(\mathbf{H}_{2,k}^T \mathbf{X}_k + Z_{2,k} | \dots, \tilde{\mathbf{H}}_{2,k}, \hat{\mathbf{H}}_{2,k}) \right] + O(1) \\ \leq \frac{1}{4} \log(1 + \text{SNR}) + O(1)$$

- ▶ $\implies R_1 + R_2 < \frac{3}{2} \cdot \frac{1}{2} \log \text{SNR} + O(1)$

Proof Outline III

- ▶ But we can do a bit better

$$R_1 + \frac{1}{2}R_2 < \frac{1}{2} \log \text{SNR} + O(1) \quad (1)$$

- ▶ Replacing role of Rx 1 and Rx 2

$$R_2 + \frac{1}{2}R_1 < \frac{1}{2} \log \text{SNR} + O(1) \quad (2)$$

- ▶ Adding (1) and (2) and dividing by $\frac{3}{2}$ leads to

$$R_1 + R_2 < \frac{4}{3} \cdot \frac{1}{2} \log \text{SNR} + O(1)$$

Summary/Conjecture for Fading MIMO BC

- ▶ Degrees of freedom of fading MIMO BC collapse from 2 [Caire&Shamai'03] to at most 4/3 with imprecisions in transmitter side-information!

Summary: (Lapidoth/Shamai/Wigger'05)

$$\overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} \leq \frac{4}{3}$$

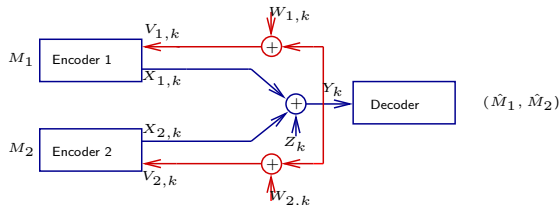
- ▶ Conjecture: For imprecise transmitter channel state information, degrees of freedom collapse **completely!** \Rightarrow “No gain” from having 2 tx-antennas!

Conjecture:

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} = 1$$

Part 2

Two-User Gaussian MAC with Imperfect Feedback



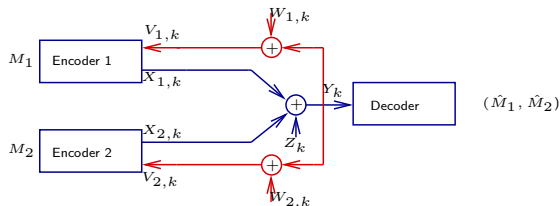
Side-information: Output feedback

Imperfection: Feedback links are **noisy**

Lapidoth&Wigger'06

- ▶ Feedback noise hardly influences perfect-feedback capacity!
- ▶ Noisy feedback links are *a/ways* beneficial!

Two-User Gaussian MAC with Imperfect Feedback



$$\blacktriangleright Y_k = x_{1,k} + x_{2,k} + Z_k \quad V_{\nu,k} = Y_k + W_{\nu,k}$$

$$\blacktriangleright \{(W_{1,k}, W_{2,k})\} \sim \text{IID } \mathcal{N}(\mathbf{0}, \mathbf{K}_{W_1 W_2}); \quad \mathbf{K}_{W_1 W_2} = \begin{pmatrix} \sigma_1^2 & \sigma_1 \sigma_2 \rho \\ \sigma_1 \sigma_2 \rho & \sigma_2^2 \end{pmatrix}$$

$$\blacktriangleright \text{Noisy feedback:} \quad X_{\nu,k} = f_{\nu,k}(M_{\nu}, \mathbf{V}_{\nu,1}^{k-1})$$

No Feedback; $\sigma_1^2 = \sigma_2^2 = \infty$

- ▶ For Gaussian two-user MAC without feedback:

$$C_{\text{NoFB}} = \left\{ (R_1, R_2) : \begin{array}{l} R_1 \leq \frac{1}{2} \log \left(1 + \frac{P_1}{N} \right) \\ R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_2}{N} \right) \\ R_1 + R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_1 + P_2}{N} \right) \end{array} \right\}$$

General MAC with Perfect Feedback

- ▶ Even for *memoryless* MACs perfect feedback can increase capacity [Gaarder&Wolf'73]
- ▶ Capacity of general memoryless MACs with perfect feedback open!
- ▶ Cover&Leung'81: \mathcal{R}_{CL} achievable rate region with perfect feedback
- ▶ For some channels \mathcal{R}_{CL} is capacity! [Willems'82]

Perfect Feedback; $\sigma_1^2 = \sigma_2^2 = 0$

- For Gaussian two-user MAC with perfect feedback [Ozarow'84]:

$$C_{\text{PerfectFB}} = \bigcup_{\rho \in [0,1]} \left\{ (R_1, R_2) : \begin{array}{l} R_1 \leq \frac{1}{2} \log \left(1 + \frac{P_1(1-\rho^2)}{N} \right) \\ R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_2(1-\rho^2)}{N} \right) \\ R_1 + R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_1+P_2+2\sqrt{P_1P_2}\rho}{N} \right) \end{array} \right\}$$

$$C_{\text{NoFB}} \subset C_{\text{PerfectFB}} \quad (\text{strictly})$$

One-Sided Perfect Feedback to User 2; $\sigma_1^2 = \infty, \sigma_2^2 = 0$

- ▶ Ozarow's scheme doesn't work
- ▶ Cover&Leung's scheme still does

$$\mathcal{R}_{\text{CL}} = \bigcup_{\substack{\rho_1, \rho_2 \in \\ [0,1]}} \left\{ (R_1, R_2) : \begin{array}{l} R_1 \leq \frac{1}{2} \log \left(1 + \frac{P_1(1-\rho_1^2)}{N} \right) \\ R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_2(1-\rho_2^2)}{N} \right) \\ R_1 + R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_1+P_2+2\sqrt{P_1P_2}\rho_1\rho_2}{N} \right) \end{array} \right\}$$

- ▶ Van der Meulen'87:

$$\mathcal{C}_{\text{OneSided}} = \mathcal{R}_{\text{CL}} ?$$

Answer to van der Meulen (Lapidoth&Wigger'06)

$$\mathcal{R}_{\text{CL}} \subset \mathcal{C}_{\text{OneSided}} \quad (\text{can be strict})$$

Noisy Feedback; $0 \leq \sigma_1^2, \sigma_2^2 \leq \infty$

- ▶ Carleial'82, Willems/van der Meulen/Schalkwijk'83:
 - ▶ Collapse to C_{NoFB} for σ_1^2, σ_2^2 above some threshold depending on P_1, P_2, N
 - ▶ Don't converge to $C_{\text{PerfectFB}}$ when $\sigma_1^2, \sigma_2^2 \downarrow 0$

Just shortcomings of schemes or inherent in problem?

- ▶ Gastpar'05

- ▶ Ozarow's scheme doesn't work

Main Results: Noisy Feedback (Lapidoth&Wigger'06)

- ▶ Feedback is **always** beneficial!

Theorem 2

For $0 < P_1, P_2, N < \infty$:

$$C_{\text{NoFB}}(P_1, P_2, N) \subset C_{\text{NoisyFB}}(P_1, P_2, N, K_{W_1 W_2}) \quad (\text{strictly})$$

whenever $\min\{\sigma_1^2, \sigma_2^2\} < \infty$

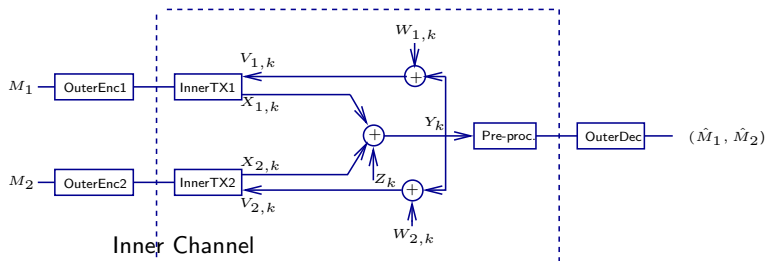
- ▶ Almost-perfect feedback is like perfect feedback

Theorem 3

For $0 < P_1, P_2, N < \infty$:

$$\lim_{(\sigma_1^2, \sigma_2^2) \downarrow \mathbf{0}} C_{\text{NoisyFB}}(P_1, P_2, N, K_{W_1 W_2}) = C_{\text{PerfectFB}}(P_1, P_2, N)$$

Imperfect Feedback Scheme: Concatenated Structure



- ▶ Inner channel:
 - ▶ One input symbol to each inner transmitter every κ channel uses
 - ▶ One pre-processor output every κ channel uses
 - ▶ Inner txs & pre-proc. generalize Ozarow's perfect feedback scheme
- ▶ Outer code ignores feedback, codes to achieve capacity of inner channel
- ▶ Outer code & generalized inner scheme & good finite κ make Ozarow's scheme robust to noisy feedback

Summary for MAC with Noisy Feedback (Lapidoth&Wigger'06)

Encoding scheme

- ▶ We propose “robustification” of Ozarow’s perfect feedback scheme for imperfect feedback

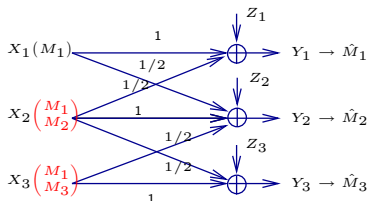
Unlike previous schemes, our scheme leads to

- ▶ Feedback always increases capacity if σ_1^2, σ_2^2 not both ∞
- ▶ Noisy feedback capacity tends to perfect feedback capacity when $\sigma_1^2, \sigma_2^2 \downarrow 0$
- ▶ Answer to van der Meulen:
One-sided feedback capacity can strictly include Cover&Leung region

Part 3

Cognitive Interference networks

Example:



Side-information: Knowledge about other transmitters' messages

Imperfection: Transmitters know **only some** of the messages

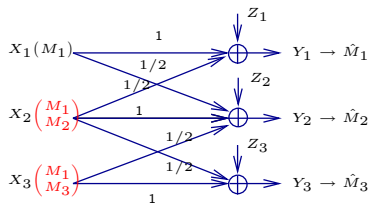
Lapidoth/Shamai/Wigger'07

Depending on specific topology of network:

- ▶ Degrees of freedom collapse with incomplete side-information
- ▶ \mathcal{N} degrees of freedom achievable even with little side-information
- ▶ Degrees of freedom can be a non-integer number

Cognitive Interference networks

Example:



- ▶ \mathcal{N} transmitters and receivers, each with a single-antenna
- ▶ $\mathbf{H} = (h_{j,\ell}) \in \mathbb{R}^{\mathcal{N} \times \mathcal{N}}$ of full rank; *constant*
- ▶ $\mathbf{Y}_k = \mathbf{H}\mathbf{X}_k + \mathbf{Z}_k$
- ▶ $\mathcal{S}_\nu \subseteq \{1, \dots, \mathcal{N}\}$
- ▶ $i \in \mathcal{S}_\nu \iff$ Transmitter ν knows M_i

Degrees of Freedom

- ▶ Degrees of freedom:

$$\eta(\mathbf{H}, \{\mathcal{S}_\nu\}) \triangleq \overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})}$$

- ▶ Characterizes maximum high SNR throughput of system
- ▶ For parallel Gaussian channels:

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} = \mathcal{N}$$

- ▶ Time-sharing:

$$\overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} \geq 1$$

Perfect Side-Information; $\mathcal{S}_\nu = \{1, \dots, \mathcal{N}\} \quad \forall \nu$

- ▶ All \mathcal{N} transmitters know all \mathcal{N} messages!
- ▶ Setting corresponds to a MIMO Broadcast Channel
- ▶ [Vishwanath/Tse'03, Viswanath/Tse'03, Yu/Cioffi'04]:

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} = \mathcal{N}$$

No Side-Information; $\mathcal{S}_\nu = \{\nu\}$

- ▶ In general still an open problem!
- ▶ Høst-Madsen/Nosratinian: Fully connected networks

$$1 \leq \overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} \leq \frac{N}{2}$$

Lapidoth/Shamai/Wigger'07

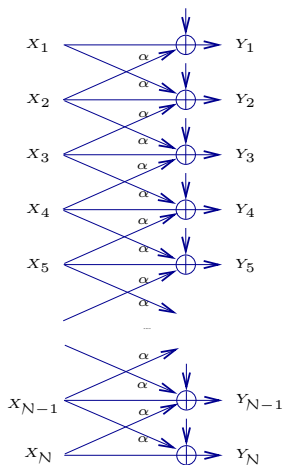
For *certain* fully connected networks with *full-rank* matrix \mathbf{H} :

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} = 1$$

\implies At high SNR can't do much better than time-sharing!

Partial Side-Information

Example: Wyner's linear model for cellular systems (down-link)



- ▶ $\alpha > 0$

- ▶ No SI:

Lapidoth/Shamai/Wigger'07

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} = \mathcal{N} - \lfloor \mathcal{N}/2 \rfloor$$

- ▶ Partial SI: Next and previous $J > 0$ msgs:

Lapidoth/Shamai/Wigger'07

$$\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} = \mathcal{N} - \left\lfloor \frac{\mathcal{N}}{J+2} \right\rfloor$$

- ▶ **Partial** SI better than **No** SI,
can even lead to \mathcal{N} degrees of freedom

Main Questions for Partial Side-Information

- ▶ Degrees of freedom for general cognitive networks → don't know!

Main questions we shall answer:

- ▶ For which H's is there “strictly partial SI” s.t. $\lim_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} = \mathcal{N}$?
- ▶ For which H's is there “strictly partial SI” which outperforms “No SI”?
- ▶ Must degrees of freedom $\overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})}$ be integer?

Partial Interference Cancellation (Lapidoth/Shamai/Wigger'07)

- ▶ With precoding/silencing transmitters construct parallel Gaussian channels
- ▶ Possible precoding matrices depend on side-information
- ▶ $p^*(\mathbf{H}, \{\mathcal{S}_\nu\})$: maximum number of parallel channels using partial interference cancellation



$$\overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})} \geq p^*(\mathbf{H}, \{\mathcal{S}_\nu\})$$

Results I (Lapidoth, Shamai, Wigger'07)

- ▶ Partial interference cancelation is optimal when $p^* = \mathcal{N}$ or $p^* = \mathcal{N} - 1$

Theorem 5

Given $(H, \{\mathcal{S}_\nu\})$:

$$\begin{aligned} p^* = \mathcal{N} &\implies \eta = \mathcal{N} \\ p^* = \mathcal{N} - 1 &\implies \eta = \mathcal{N} - 1 \\ p^* < \mathcal{N} - 1 &\implies \eta < \mathcal{N} - 1 \end{aligned}$$

- ▶ p^* characterizes networks where $\eta = \mathcal{N}$ and networks where $\eta = \mathcal{N} - 1$

Corollary 1

$$\begin{aligned} \eta(H, \{\mathcal{S}_\nu\}) = \mathcal{N} &\iff p^*(H, \{\mathcal{S}_\nu\}) = \mathcal{N} \\ \eta(H, \{\mathcal{S}_\nu\}) = \mathcal{N} - 1 &\iff p^*(H, \{\mathcal{S}_\nu\}) = \mathcal{N} - 1 \\ &\eta \text{ not in open interval } (\mathcal{N} - 1, \mathcal{N}) \end{aligned}$$

Reminder: $\eta(H, \{\mathcal{S}_\nu\}) \triangleq \overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})}$

Results II (Lapidoth, Shamai, Wigger'07)

- ▶ Given H: characterization of side-information required for $\eta = \mathcal{N}$

Theorem 6

Given H:

$$\eta(\mathbf{H}, \{\mathcal{S}_\nu\}) = \mathcal{N} \quad \iff \quad \left(\text{rank} \left(\mathbf{H}_{(i)}^{(j)} \right) = \mathcal{N} - 1 \implies i \in \mathcal{S}_j \right), \quad \forall i, j$$

- ▶ Characterization of H's where $\eta = \mathcal{N}$ requires full side-information

Corollary 2

Given H: *"Perfect side-information"* required for $\eta = \mathcal{N}$

$$\iff \quad \text{rank} \left(\mathbf{H}_{(i)}^{(j)} \right) = \mathcal{N} - 1, \quad \forall i \neq j$$

- ▶ $\mathbf{H}_{(i)}^{(j)}$: H without j -th column, i -th row

Results III (Lapidoth,Shamai,Wigger'07)

- Characterization of H's where all strictly partial SI are like no SI

Theorem 7

No “strictly partial side-information” can increase degrees of freedom whenever

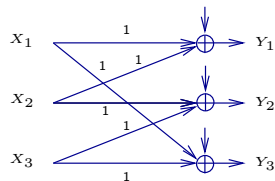
$$H \text{ is diagonal} \quad \text{or} \quad H = \begin{pmatrix} \times & 0 & 0 & \dots & 0 & \times & 0 & \dots & 0 & 0 \\ 0 & \times & 0 & \dots & 0 & \times & 0 & \dots & 0 & 0 \\ & & & \dots & & & & \dots & & \\ & & & \dots & & & & \dots & & \\ 0 & 0 & 0 & \dots & \times & \times & 0 & \dots & 0 & 0 \\ \times & \times & \times & \dots & \times & ? & \times & \dots & \times & \times \\ 0 & 0 & 0 & \dots & 0 & \times & \times & \dots & 0 & 0 \\ & & & \dots & & & & \dots & & \\ & & & \dots & & & & \dots & & \\ 0 & 0 & 0 & \dots & 0 & \times & 0 & \dots & \times & 0 \\ 0 & 0 & 0 & \dots & 0 & \times & 0 & \dots & 0 & \times \end{pmatrix}$$

x : non-zero entry, $?$: arbitrary entry

Extension of Partial Interference Cancelation Scheme

- ▶ Code over multiple channel uses (inspired by Weingarten et al.'07)

Example:



- ▶ Extend scheme over **2** channel uses
- ▶ No SI: $\rightarrow \eta \geq 3/2$

Lapidoth/Shamai/Wigger'07

For above example: $\eta = 3/2$

\Rightarrow **Degrees of freedom need not be an integer!**

Summary for Cognitive Interference Networks

Lapidoth/Shamai/Wigger'07

- ▶ Characterized networks where $\eta = \mathcal{N}$ and those where $\eta = \mathcal{N} - 1$
- ▶ Characterized H's where “full side-information” necessary for $\eta = \mathcal{N}$
- ▶ Characterized H's where “partial side-information” *never* increases d.o.f.
- ▶ Degrees of freedom (of single-antenna networks) can be non-integer
- ▶ *Fully-connected* networks with full-rank H can have only 1 d.o.f.

Reminder:
$$\eta \triangleq \overline{\lim}_{\text{SNR} \rightarrow \infty} \frac{C_{\text{sum}}(\text{SNR})}{\frac{1}{2} \log(\text{SNR})}, \quad \mathcal{N}: \# \text{ transmitters/receivers}$$

Summary of Talk

Fading MIMO BC with CSI @ Tx/Rxs (Lapidoth/Shamai/Wigger'05)

- ▶ Imprecisions in CSI @ tx \Rightarrow degrees of freedom collapse from 2 to $\leq \frac{4}{3}$

2-User Gaussian MAC with Output Feedback (Lapidoth&Wigger'06)

- ▶ Almost noise-free feedback \approx noise-free feedback
- ▶ Even noisy feedback is always beneficial
- ▶ Answer van der Meulen's question

Cognitive Interference Networks (Lapidoth/Shamai/Wigger'07)

- ▶ Characterized networks with \mathcal{N} and $\mathcal{N} - 1$ degrees of freedom
- ▶ Characterized H's where partial SI never increases degrees of freedom
- ▶ Characterized H's where *some* partial SI achieves \mathcal{N} degrees of freedom
- ▶ Degrees of freedom can be non-integer!
- ▶ *Fully-connected* networks with full-rank H can have 1 degree of freedom

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See also: <http://people.ethz.ch/~wiggerm>